# WO0018136

Publication Title:

Region-based refresh strategy for video compression

Abstract:

Frames in a video sequence are divided into two or more regions and a specified number of macroblocks are selected in each region for intra-coding. Depending on the particular implementation, for one or more of the regions, the intra-macroblocks are selected randomly, while at least one other region is dividing into a specified number of slices with the least-recently intra-coded macroblock in each slice selected for intra-coding. When an error is detected at the decoder, the decoder discards data in the corresponding packet and applies a concealment strategy that involves using motion-compensated data if the motion vectors were accurately decoded; otherwise, using non-motion-compensated reference data for the macroblocks affected by the discarding of data. The refresh strategy of the present invention can be used to provide the resulting encoded bitstream with resilience to transmission errors, while maintaining an acceptable degree of video compression

---

Data supplied from the esp@cenet database - http://ep.espacenet.com

*This Patent PDF Generated by Patent Fetcher(TM), a service of Patent Logistics, LLC*

**PCT** WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : H04N 7/50, 7/26, 7/68

A1

(11) International Publication Number: **WO 00/18136**

(43) International Publication Date: 30 March 2000 (30.03.00)

(21) International Application Number: PCT/US99/21668

(22) International Filing Date: 20 September 1999 (20.09.99)

(30) Priority Data:

| | | |
|---|---|---|
| 60/100,939 | 18 September 1998 (18.09.98) | US |
| 09/227,520 | 8 January 1999 (08.01.99) | US |

(71) Applicant: SARNOFF CORPORATION [US/US]; 201 Washington Road, CN5300, Princeton, NJ 08543 (US).

(72) Inventors: KRISHNAMURTHY, Ravi; 5614 Hunters Glen Drive, Plainsboro, NJ 08536 (US). SETHURAMAN, Sriram; Kensington Arms, Apartment #28B, Hightstown, NJ 08520 (US).

(74) Agents: BURKE, William, J. et al.; Sarnoff Corporation, 201 Washington Road, CN5300, Princeton, NJ 08543 (US).

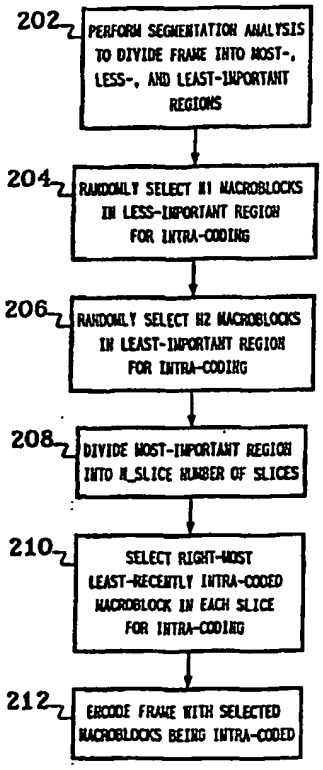
(81) Designated States: BR, CA, CN, IN, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

**Published**
*With international search report.*
*Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.*

(54) Title: REGION-BASED REFRESH STRATEGY FOR VIDEO COMPRESSION

(57) Abstract

Frames in a video sequence are divided into two or more regions and a specified number of macroblocks are selected in each region for intra-coding. Depending on the particular implementation, for one or more of the regions, the intra macroblocks are selected randomly, while at least one other region is dividing into a specified number of slices with the least-recently intra-coded macroblock in each slice selected for intra-coding. When an error is detected at the decoder, the decoder discards data in the corresponding packet and applies a concealment strategy that involves using motion-compensated data if the motion vectors were accurately decoded; otherwise, using non-motion-compensated reference data for the macroblocks affected by the discarding of data. The refresh strategy of the present invention can be used to provide the resulting encoded bitstream with resilience to transmission errors, while maintaining an acceptable degree of video compression.



*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| **AL** | Albania | **ES** | Spain | **LS** | Lesotho | **SI** | Slovenia |
| **AM** | Armenia | **FI** | Finland | **LT** | Lithuania | **SK** | Slovakia |
| **AT** | Austria | **FR** | France | **LU** | Luxembourg | **SN** | Senegal |
| **AU** | Australia | **GA** | Gabon | **LV** | Latvia | **SZ** | Swaziland |
| **AZ** | Azerbaijan | **GB** | United Kingdom | **MC** | Monaco | **TD** | Chad |
| **BA** | Bosnia and Herzegovina | **GE** | Georgia | **MD** | Republic of Moldova | **TG** | Togo |
| **BB** | Barbados | **GH** | Ghana | **MG** | Madagascar | **TJ** | Tajikistan |
| **BE** | Belgium | **GN** | Guinea | **MK** | The former Yugoslav Republic of Macedonia | **TM** | Turkmenistan |
| **BF** | Burkina Faso | **GR** | Greece | | | **TR** | Turkey |
| **BG** | Bulgaria | **HU** | Hungary | **ML** | Mali | **TT** | Trinidad and Tobago |
| **BJ** | Benin | **IE** | Ireland | **MN** | Mongolia | **UA** | Ukraine |
| **BR** | Brazil | **IL** | Israel | **MR** | Mauritania | **UG** | Uganda |
| **BY** | Belarus | **IS** | Iceland | **MW** | Malawi | **US** | United States of America |
| **CA** | Canada | **IT** | Italy | **MX** | Mexico | **UZ** | Uzbekistan |
| **CF** | Central African Republic | **JP** | Japan | **NE** | Niger | **VN** | Viet Nam |
| **CG** | Congo | **KE** | Kenya | **NL** | Netherlands | **YU** | Yugoslavia |
| **CH** | Switzerland | **KG** | Kyrgyzstan | **NO** | Norway | **ZW** | Zimbabwe |
| **CI** | Côte d'Ivoire | **KP** | Democratic People's Republic of Korea | **NZ** | New Zealand | | |
| **CM** | Cameroon | | | **PL** | Poland | | |
| **CN** | China | **KR** | Republic of Korea | **PT** | Portugal | | |
| **CU** | Cuba | **KZ** | Kazakstan | **RO** | Romania | | |
| **CZ** | Czech Republic | **LC** | Saint Lucia | **RU** | Russian Federation | | |
| **DE** | Germany | **LI** | Liechtenstein | **SD** | Sudan | | |
| **DK** | Denmark | **LK** | Sri Lanka | **SE** | Sweden | | |
| **EE** | Estonia | **LR** | Liberia | **SG** | Singapore | | |

# REGION-BASED REFRESH STRATEGY FOR VIDEO COMPRESSION

## BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates to image processing, and, in particular, to video compression.

### Cross-Reference to Related Applications

This application claims the benefit of the filing date of U.S. provisional application no. 60/100,939, filed on 09/18/98 as attorney docket no. SAR 12728PROV.

### Description of the Related Art

Video compression is employed to reduce the bandwidth required for transmission or storage. Many standards have evolved for video compression, such as H.261, H.263, H.263+, and the MPEG-1, 2, and 4 standards. These standards use motion compensation and predictive coding where some frames are predicted from reference frames in order to achieve coding efficiency. They also use variable-length codes (VLCs) for the same purpose. While these techniques are excellent from the point of view of compression, in the presence of channel errors, they can cause propagation of errors over a large part of the sequence.

Many tools have been developed to improve the error resilience of compressed video bit streams, such as resynchronization (resync) markers, data partitioning, and reversible VLCs, which are now are part of the MPEG-4 standard.

When channel errors cause the decoder to lose synchronization of a compressed video bitstream that was encoded using VLCs, all the following data up to the next resync point in the bitstream will be lost. In the normal encoding mode, this resync point will be the start of the next picture. The use of resync markers splits each picture into video packets by explicitly introducing markers in the bit stream and ensuring that there are no dependencies across the packets. Thus, an error in a packet is confined within that packet.

Data partitioning splits the data according to importance. For example, in motion-compensated predictive coding, the motion is usually more important than the residual (i.e., the inter-frame differences after motion compensation) in terms of importance for the perceived quality. If the motion data are placed earlier in the data packet than the residual data, then a channel error that occurs during transmission of the residual data will not affect the more-important motion data. This further increases the resilience of the bitstream in the presence of errors. Reversible VLCs provide additional localization of bit errors.

In all the coding standards, there are intra-coded pictures (I frames) and predictive-coded pictures (P and B frames). In P and B frames, individual macroblocks can be coded in the intra mode,

i.e., without dependence on previously decoded information. Intra-coded pictures and macroblocks are excellent from the point of view of error resilience since they avoid the propagation of errors. However, their compression efficiency is very low. Also, in low-delay applications such as video-phone and video-conferencing, intra frames may result in a large frame skip, following which the motion-compensated prediction will not be very effective. Also, the mean frame rate would drop and the motion will become very jerky.

## SUMMARY OF THE INVENTION

The present invention is directed to a scheme that adaptively refreshes different regions in the video (according to their relative importance) using intra-coded macroblocks to obtain good compression performance as well as resilience in the presence of errors. According to one embodiment, the present invention is a method for compressing frames of a video sequence, comprising the steps of (a) dividing each frame into two or more regions; (b) selecting one or more macroblocks in each region to be intra-coded; and (c) encoding each frame, wherein the selected macroblocks are intra-coded.

## BRIEF DESCRIPTION OF THE DRAWINGS

Other aspects, features, and advantages of the present invention will become more fully apparent from the following detailed description, the appended claims, and the accompanying drawings in which:

Fig. 1 shows a frame of a video sequence, where the frame has been divided into three regions: a "most-important" region, a "less-important" region, and a "least-important" region;

Fig. 2 shows a flow diagram of the processing corresponding to a refresh strategy for the video sequence of the frame of Fig. 1, according to one embodiment of the present invention; and

Fig. 3 shows a flow diagram of the processing applied to a frame in an encoded video bitstream that was generated using the encoding processing of Fig. 2, when an error is detected at the decoder, according to one embodiment of the present invention.

## DETAILED DESCRIPTION

Fig. 1 shows a frame **100** of a video sequence, where frame **100** has been divided into three regions: a "most-important" region **102**, a "less-important" region **104**, and a "least-important" region **106**. Fig. 1 corresponds to a typical video-conferencing scenario in which a talking person is located at the center of the picture (i.e., foreground region **102**), where foreground region **102** is more important to the viewer of the decoded video stream than the background region **106**. Region **104** corresponds to a transition region between the foreground region and the background region. Depending on the specific application, the regions can be selected differently, and different numbers of regions can be

selected. For example, a picture with two persons may have two most-important foreground regions, two less-important transition regions, and a single least-important background region.

The present invention is directed to a strategy for refreshing different regions in a video sequence using intra-coded macroblocks that takes into account the relative importance of the different regions. The refresh strategy of the present invention may be implemented for the video sequence of frame **100** as follows:

1. For transition region **104** and background region **106**, the user selects two numbers *N1* and *N2* corresponding to the numbers of macroblocks, respectively, that will be intra-coded in these regions for every coded frame. The exact macroblocks to be coded for a particular frame are chosen at random. Eventually, over a long period, all the macroblocks in these regions will be refreshed.

2. In the most-important foreground region **102**, the user selects *N_SLICE*, the number of macroblocks in this region to be intra-coded per frame. The value of *N_SLICE* depends on how much resilience is to be added to the bitstream at the expense of compression efficiency. The region is then divided into *N_SLICE* number of slices and one macroblock is intra-coded in each of these slices per coded frame. By keeping track of the last time value at which each macroblock was intra-coded, the macroblock in each slice that was least recently intra-coded is selected for intra-coding in the current frame. Within a slice, ties are resolved by selecting based on some specified rule, e.g., the macroblock furthest to the right in the slice. Optionally, each intra-macroblock can be sent in its own video packet to give additional protection to the bitstream.

Fig. 2 shows a flow diagram of the encoding processing applied to each coded frame in the video sequence containing frame **100**, according to one embodiment of the present invention. The processing begins by dividing the current frame into most-important region **102**, less-important region **104**, and least-important region **106** (step **202**). The analysis of step **202** is referred to as segmentation analysis, which, for purposes of the present invention, can be implemented using any suitable scheme, including automatic schemes or interactive schemes in which the regions of interest are explicitly identified by the user (e.g., a participant in a video-conference located either at the encoder or the decoder). In either case, the segmentation analysis can be performed adaptively throughout the video sequence. As such, the specific macroblocks that constitute the various regions can vary from frame to frame (e.g., as the talking person moves within the field of view).

After the macroblocks of the three regions are identified in step **202**, *N1* macroblocks are randomly selected in the less-important region **104** for intra-coding (step **204**) and *N2* macroblocks are randomly selected in the least-important region **106** for intra-coding (step **206**). The most-important region **102** is divided into *N_SLICE* slices (step **208**) and the least-recently intra-coded macroblock in each slice is selected for intra-coding (step **210**). Note that, if two or more macroblocks in a given slice were equally least-recently intra-coded, then the right-most of those macroblocks in the slice is selected for intra-coding.

After macroblocks have been selected for intra-coding in all of the regions, the frame is encoded, applying an appropriate intra-coding technique to the selected macroblocks (step **212**).

Fig. 3 shows a flow diagram of the processing applied to a frame in an encoded video bitstream that was generated using the encoding processing of Fig. 2, when a transmission or other bitstream error is detected at the decoder, according to one embodiment of the present invention. In particular, when the error is detected, the decoder discards all the data in the packet (or, in that partition, if data partitioning is used) (step **302**). The decoder then uses a concealment strategy to fill in the missing macroblocks. If the motion vectors were decoded correctly (step **304**), the decoder uses the motion-compensated macroblock for concealment (step **306**). Otherwise, the decoder treats the missing macroblocks as skipped macroblocks and fills in the corresponding regions with the corresponding macroblocks in the reference frame (step **308**). Although this concealment strategy could lead to the propagation of decoding errors over time, the refresh strategy of the present invention reduces this propagation of decoding errors and ensures good video quality even in the presence of transmission errors.

Of course, the present invention can be implemented in a wide variety of alternative embodiments. In general, the present invention is directed to encoding and decoding schemes in which each coded frame in a video sequence is divided into two or more regions, where numbers of macroblocks to be intra-coded in each frame are specified for each region. Exactly how the macroblocks are selected for each different region in each frame can vary from one implementation to another.

The present invention can be embodied in the form of methods and apparatuses for practicing those methods. The present invention can also be embodied in the form of program code embodied in tangible media, such as floppy diskettes, CD-ROMs, hard drives, or any other machine-readable storage medium, wherein, when the program code is loaded into and executed by a machine, such as a computer, the machine becomes an apparatus for practicing the invention. The present invention can also be embodied in the form of program code, for example, whether stored in a storage medium, loaded into and/or executed by a machine, or transmitted over some transmission medium, such as over electrical wiring or cabling, through fiber optics, or via electromagnetic radiation, wherein, when the program code is loaded into and executed by a machine, such as a computer, the machine becomes an apparatus for practicing the invention. When implemented on a general-purpose processor, the program code segments combine with the processor to provide a unique device that operates analogously to specific logic circuits.

It will be further understood that various changes in the details, materials, and arrangements of the parts which have been described and illustrated in order to explain the nature of this invention may be made by those skilled in the art without departing from the principle and scope of the invention as expressed in the following claims.

## CLAIMS

What is claimed is:

1. A method for compressing frames of a video sequence, comprising the steps of:

(a) dividing each frame into two or more regions;

(b) selecting one or more macroblocks in each region to be intra-coded; and

(c) encoding each frame, wherein the selected macroblocks are intra-coded.

2. The invention of claim 1, wherein, for at least one of the regions, the one or more macroblocks to be intra-coded are selected randomly in the region.

3. The invention of claim 1, wherein, for at least one other of the regions, the region is divided into two or more slices, and a least-recently intra-coded macroblock in each slice is selected for intra-coding for each frame.

4. The invention of claim 3, wherein a specified selection rule is applied if there are two or more least-recently intra-coded macroblocks in a slice.

5. The invention of claim 1, wherein:

step (a) comprises the step of dividing each frame into a most-important region, a less-important region, and a least-important region; and

step (b) comprises the steps of:

(1) randomly selecting a first specified number of macroblocks in the least-important region to be intra-coded;

(2) randomly selecting a second specified number of macroblocks in the less-important region to be intra-coded; and

(3) dividing the most-important region into a third specified number of slices and selecting a least-recently intra-coded macroblock in each slice for intra-coding.

6. The invention of claim 5, wherein a specified selection rule is applied if there are two or more least-recently intra-coded macroblocks in a slice.

7. A computer-readable medium having stored thereon a plurality of instructions, the plurality of instructions including instructions which, when executed by a processor, cause the processor to implement a method for compressing frames of a video sequence, the method comprising the steps of:

(a) dividing each frame into two or more regions;

(b) selecting one or more macroblocks in each region to be intra-coded; and

(c) encoding each frame, wherein the selected macroblocks are intra-coded.

8. A method for decoding a compressed video bitstream, comprising the steps of:

(a) receiving the compressed video bitstream, wherein the compressed video bitstream was encoded by:

(1) dividing each frame into two or more regions;

(2) selecting one or more macroblocks in each region to be intra-coded; and

(3) encoding each frame, wherein the selected macroblocks are intra-coded; and

(b) decoding the compressed video bitstream, wherein, if an error is detected in a data packet of the compressed video bitstream, then data in the packet are discarded and a concealment strategy is implemented for macroblocks corresponding to the discarded data.

9. The invention of claim 8, wherein the concealment strategy comprises:

(1) using motion-compensated data for the corresponding macroblocks, if motion vectors are accurately decoded; and

(2) using non-motion-compensated reference data for the corresponding macroblocks, if the motion vectors are not accurately decoded.

10. A computer-readable medium having stored thereon a plurality of instructions, the plurality of instructions including instructions which, when executed by a processor, cause the processor to implement a method for decoding a compressed video bitstream, the method comprising the steps of:

(a) receiving the compressed video bitstream, wherein the compressed video bitstream was encoded by:

(1) dividing each frame into two or more regions;

(2) selecting one or more macroblocks in each region to be intra-coded; and

(3) encoding each frame, wherein the selected macroblocks are intra-coded; and

(b) decoding the compressed video bitstream, wherein, if an error is detected in a data packet of the compressed video bitstream, then data in the packet are discarded and a concealment strategy is implemented for macroblocks corresponding to the discarded data.

FIG. 1

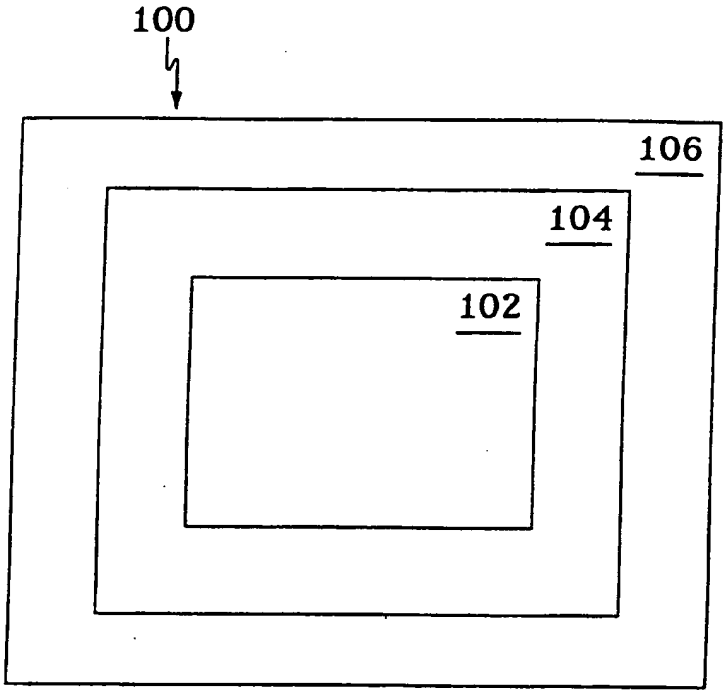


FIG. 2

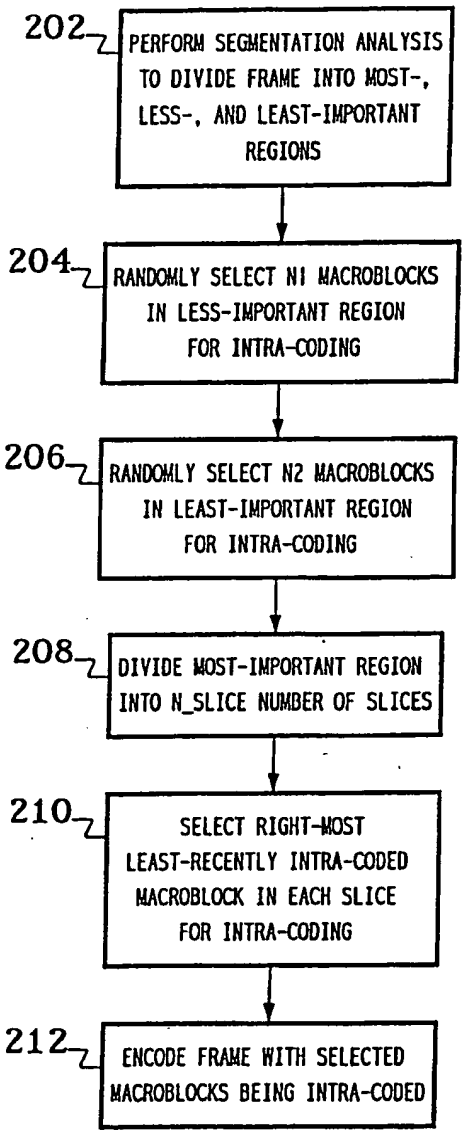
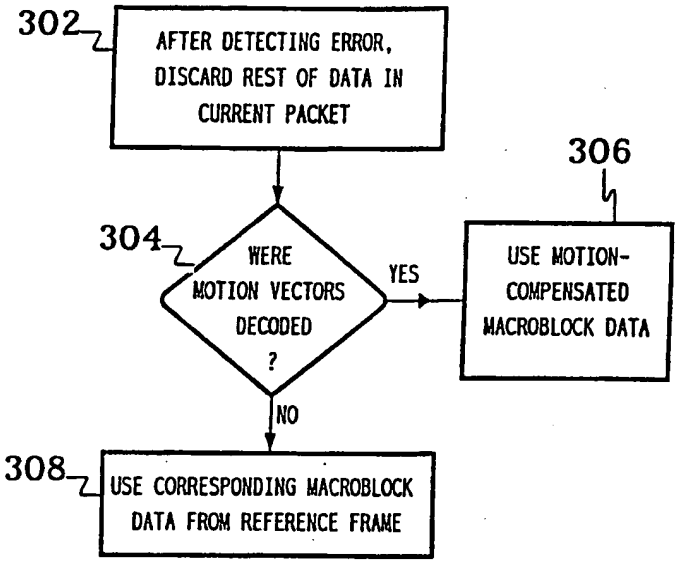

202
PERFORM SEGMENTATION ANALYSIS TO DIVIDE FRAME INTO MOST-, LESS-, AND LEAST-IMPORTANT REGIONS
204
RANDOMLY SELECT N1 MACROBLOCKS IN LESS-IMPORTANT REGION FOR INTRA-CODING
206
RANDOMLY SELECT N2 MACROBLOCKS IN LEAST-IMPORTANT REGION FOR INTRA-CODING
208
DIVIDE MOST-IMPORTANT REGION INTO N_SLICE NUMBER OF SLICES
210
SELECT RIGHT-MOST LEAST-RECENTLY INTRA-CODED MACROBLOCK IN EACH SLICE FOR INTRA-CODING
212
ENCODE FRAME WITH SELECTED MACROBLOCKS BEING INTRA-CODED

FIG. 3


302
AFTER DETECTING ERROR, DISCARD REST OF DATA IN CURRENT PACKET
306
304
WERE MOTION VECTORS DECODED ?
YES
USE MOTION-COMPENSATED MACROBLOCK DATA
NO
308
USE CORRESPONDING MACROBLOCK DATA FROM REFERENCE FRAME

# INTERNATIONAL SEARCH REPORT

Inter nal Application No
PCT/US 99/21668

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H04N7/50 H04N7/26 H04N7/68

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| X | EP 0 499 088 A (GEN INSTRUMENT CORP)<br>19 August 1992 (1992-08-19)<br>column 4, line 32 -column 5, line 8<br>column 3, line 16 -column 3, line 25<br>claims 1,3 | 1-3,7 |
| Y | --- | 8-10 |
| Y | US 5 410 553 A (CHOON LEE)<br>25 April 1995 (1995-04-25)<br>column 5, line 45 -column 6, line 27<br>figure 5 | 8-10 |
| | --- | |
| X | US 5 508 743 A (IIZUKA YOSHIO)<br>16 April 1996 (1996-04-16)<br>column 3, line 31 -column 9, line 13 | 1,2,5,7 |
| | --- | |
| | -/-- | |

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 24 January 2000 | 01/02/2000 |

| Name and mailing address of the ISA | Authorized officer |
|---|---|
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL – 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 | Fassnacht, C |

1

Form PCT/ISA/210 (second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT

Inter ›nal Application No
PCT/US 99/21668

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication,where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| A | US 5 650 822 A (HEISLER PHILIPP ET AL) 22 July 1997 (1997-07-22) column 1, line 33 -column 1, line 62 column 4, line 48 -column 5, line 39 figures 2,3 | 1-7 |
| A | EP 0 712 252 A (NIPPON TELEGRAPH & TELEPHONE) 15 May 1996 (1996-05-15) the whole document | 8-10 |
| P,X | EP 0 935 396 A (MATSUSHITA ELECTRIC IND CO LTD) 11 August 1999 (1999-08-11) paragraph '0013! - paragraph '0016! claims 1,3-6,9,33-36,48,56,79,81 | 1,7 |

1

# INTERNATIONAL SEARCH REPORT

information on patent family members

Inter nal Application No
PCT/US 99/21668

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---|---|---|
| EP 0499088 A | 19-08-1992 | US 5138447 A | 11-08-1992 |
| | | AT 178179 T | 15-04-1999 |
| | | AU 636280 B | 22-04-1993 |
| | | AU 1042492 A | 13-08-1992 |
| | | CA 2060380 A,C | 12-08-1992 |
| | | DE 69228715 D | 29-04-1999 |
| | | DE 69228715 T | 23-09-1999 |
| | | ES 2130142 T | 01-07-1999 |
| | | JP 4365286 A | 17-12-1992 |
| | | KR 9511195 B | 29-09-1995 |
| | | NO 920516 A | 12-08-1992 |
| | | NO 302990 B | 11-05-1998 |
| US 5410553 A | 25-04-1995 | NONE | |
| US 5508743 A | 16-04-1996 | JP 5161130 A | 25-06-1993 |
| | | US 5666162 A | 09-09-1997 |
| US 5650822 A | 22-07-1997 | DE 4405803 A | 31-08-1995 |
| | | FR 2716556 A | 25-08-1995 |
| | | JP 7264595 A | 13-10-1995 |
| EP 0712252 A | 15-05-1996 | JP 2912710 B | 28-06-1999 |
| | | US 5812198 A | 22-09-1998 |
| | | WO 9533341 A | 07-12-1995 |
| EP 0935396 A | 11-08-1999 | JP 11289542 A | 19-10-1999 |